

YOUR PERSONAL INFORMATION

Overview

NAME	BIRTH DATE	PHONE

OCCUPATION	PLACE OF WORK

CHILDREN	BIRTH DATE

ADDRESS	E-MAIL

OUR MAJOR GOALS & OBJECTIVES
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3
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MATTERS I REQUIRE ADVICE ON	
Insurance	<input type="checkbox"/>
Gearing	<input type="checkbox"/>
Estate Planning	<input type="checkbox"/>
Superannuation	<input type="checkbox"/>
Investment	<input type="checkbox"/>

YOUR PERSONAL INFORMATION

Our Current Position

ASSET	VALUE	LIABILITY	OWNER
Residence (Home)			
Cash in Bank			
Superannuation			
Superannuation			
Investment Property			
Shares			
TOTAL			

INCOME	\$	EXPENSES	\$
Salary		Living Expenses	
Salary		Mortgage	
Investment Income		Education	
TOTAL			

ESTATE PLANNING	Client	Partner
Current Will	Yes / No	Yes / No
Power of Attorney	Yes / No	Yes / No
Enduring Power of Attorney	Yes / No	Yes / No
Super Nomination	Yes / No	Yes / No
Binding Super Nomination	Yes / No	Yes / No

	INSURANCES	AMOUNT	INSURER
Client 1:	Death		
	TPD		
	Income Protection		
	Trauma		
Client 2:	Death		
	TPD		
	Income Protection		
	Trauma		

YOUR PERSONAL INFORMATION

Investor Risk/Return Profile

	Conservative	Defensive	Balanced	Growth	High Growth
Asset Allocation	20% Growth Assets 80% Income Assets	30% Growth Assets 70% Income assets	60% Growth Assets 40% Income Assets	70% Growth Assets 30% Income Assets	100% Growth Assets 0% Income Assets
Short-term security of capital	High	Moderate	Lower	Low	Very Low
Investment Period	3 Years	5 Years	5-7 Years	7 Years	7+ Years
Historic Range of Annual Returns	-4% to 31%	-9% to 36%	-18% to 42%	-26% to 48%	-33% to 57%
Return Target Range Over 5 years (p.a.)	Inflation plus 2%	Inflation plus 3%	Inflation plus 3.5%	Inflation plus 4%	Inflation plus 4.5%
Probability of Negative Returns	1 In Every 15 Years	1 In Every 10 Years	1 In Every 5 Years	1 In Every 4 Years	1 In Every 3 Years

Indicative Asset Allocation		Conservative	Defensive	Balanced	Growth	High Growth
Income Assets	Cash	15 - 30%	5 - 20%	5 - 20%	0 - 10%	0 - 10%
	Fixed Interest	25 - 55%	25 - 45%	20 - 30%	20 - 30%	0 - 10%
Growth Assets	Property	0 - 15%	5 - 15%	5 - 15%	5 - 15%	5 - 15%
	Australian Equities	7 - 20%	15 - 30%	20 - 40%	25 - 40%	38 - 55%
	International Equities	5 - 15%	5 - 20%	10 - 25%	15 - 30%	30 - 45%
	Alternatives	0 - 15%	0 - 20%	0 - 20%	0 - 20%	0 - 20%

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Declarations

CLIENT AND RISK PROFILE DECLARATION

I declare that the information gathered is complete and accurate to the best of my/our knowledge and the matters in the Advice Checklist have been covered. Having reviewed and discussed the risk/return profile section, we also agree that our strategy is of a _____ investor.

Signed by Client _____ Date / /

Signed by Client _____ Date / /

ADVISOR DECLARATION

I declare that the information gathered is complete and accurate to the best of my/our knowledge.

Signed by Adviser _____ Date / /

ADVICE CHECKLIST

- F.S.G Given
- Risk / Insurance Explained
- Risk / Return Explained
- Fees Explained
- Privacy Statement Given

NOTES



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